#### Connelly Barnes CS4810: Introduction to Graphics

Acknowledgment: slides by Jason Lawrence, Misha Kazhdan, Allison Klein, Tom Funkhouser, Adam Finkelstein and David Dobkin



#### **Parametric Curves and Surfaces**



- Part 1: Curves
  - Part 2: Surfaces



Courtesy of C.K. Shene

#### Curves

- Splines: mathematical way to express curves
- Motivated by "loftsman's spline"
  oLong, narrow strip of wood/plastic
  oUsed to fit curves through specified data points
  oShaped by lead weights called "ducks"
  oGives curves that are "smooth" or "fair"
- Have been used to design:
   oAutomobiles
   oShip hulls
   oAircraft fuselage/wing

### Goals

 Some attributes we might like to have: oPredictable/local control oSimple **o**Continuous • We'll satisfy these goals using: **o**Piecewise **o**Polynomials

## Many applications in graphics

Animation paths

• Shape modeling

• etc...





Shell (Douglas Turnbull)

## What is a Spline in CG?

A spline is a *piecewise polynomial function* whose derivatives satisfy some *continuity constraints* across curve boundaries.

So let's look at what this means...



## What is a Spline in CG?

Piecewise: the spline is actually a collection of individual segments joined together.

Polynomial functions: each of these segments is expressed by a polynomial function.



A parametric curve in *d*-dimensions is defined by a collection of 1D functions of one variable that give the coordinates of points on the curve at each value of *u*:

$$\Phi(\mathbf{U}) = \left(\mathbf{X}_{1}(\mathbf{U}), \dots, \mathbf{X}_{d}(\mathbf{U})\right)$$



Note:

A parametric curve is **not** the graph of a function, it is the path traced out as the value of *t* is allowed to change.

#### Derivatives

If  $\Phi(u)=(x(u),y(u))$  is the parametric equation of a curve, the parametric derivative of the curve at a point  $u_0$  is the vector:

$$\Phi'(\mathsf{u}_0) = (\mathsf{x}'(\mathsf{u}_0), \mathsf{y}'(\mathsf{u}_0))$$

which points in a direction tangent to the curve.



#### Note:

The direction of the derivative is determined by the path that the curve traces out.

The magnitude of the parametric derivative is determined by the tracing speed.

### Polynomials

A polynomial in the variable *u* is:

 "An algebraic expression written as a sum of constants multiplied by different powers of a variable."

$$P(u) = a_0 + a_1 u + a_2 u^2 + ... + a_n u^n = \sum_{k=0}^{n} a_k u^k$$

The constant  $a_k$  is referred to as the <u>k-th coefficient</u> of the polynomial *P*.

#### **Polynomials (Degree)**

$$P(u) = a_0 + a_1u + a_2u^2 + ... + a_nu^n = \sum_{k=0}^{n} a_ku^k$$

A polynomial *P* has <u>degree</u> *n* if when written in canonical form above, the highest exponent is *n* (and *a<sub>n</sub>* is nonzero).

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A polynomial *P* has <u>degree</u> *n* if when written in canonical form above, the highest exponent is *n* (and *a<sub>n</sub>* is nonzero).

A polynomial of degree n has n+1 degrees of freedom

Knowing n+1 pieces of information about a polynomial of degree n gives enough information to reconstruct the coefficients

#### **Polynomials (Matrices)**

$$P(u) = a_0 + a_1u + a_2u^2 + ... + a_nu^n = \sum_{k=0}^n a_ku^k$$

The polynomial P can be expressed as the matrix multiplication of a column vector and a row vector:

$$P(u) = \begin{pmatrix} u^n & \cdots & u^0 \end{pmatrix} \begin{pmatrix} a_n \\ \vdots \\ a_0 \end{pmatrix}$$

## **Polynomials (Matrices)**

Example:

$$P(\mathbf{u}) = \sum_{k=0}^{n} a_k \mathbf{u}^k$$

If we know the values of the polynomial *P* at *n+1* different values:

$$P(u_0) = p_0, ..., P(u_n) = p_n$$

We can compute the coefficients of *P* by inverting the appropriate matrix:

$$\begin{pmatrix} \mathsf{p}_{0} \\ \vdots \\ \vdots \\ \mathsf{p}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{0} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{0} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \vdots \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}} \cdots \begin{pmatrix} \mathsf{u}_{n} \end{pmatrix}^{\mathsf{n}} \\ \mathsf{v}_{n} \end{pmatrix}^{\mathsf{n}}$$

## **Polynomials (Matrices)**

Example:

$$P(\mathbf{u}) = \sum_{k=0}^{n} a_{k} \mathbf{u}^{k}$$

So, if we are given the values of the polynomial *P* at the n+1 positions  $u_0, ..., u_n$ , we can compute the value of *P* at any position *u* by solving:

$$P(\mathbf{u}) = (\mathbf{u}^{n} \cdots \mathbf{u}^{0}) \begin{pmatrix} (\mathbf{u}_{0})^{n} \cdots (\mathbf{u}_{0})^{0} \\ \vdots & \ddots & \vdots & \vdots \\ (\mathbf{u}_{n})^{n} \cdots (\mathbf{u}_{n})^{0} \\ \vdots & \vdots \\ p_{n} \\ f \end{pmatrix}$$

## **Parametric Polynomial Curves**

 A parametric polynomial curve of degree n in d dimensions is a collection of d polynomials, each of which is of degree no larger than n:

$$\Phi(\mathbf{u}) = \left(\mathbf{x}_{1}(\mathbf{u}) = \sum_{k=0}^{n} a_{1,k} \mathbf{u}^{k}, ..., \mathbf{x}_{d}(\mathbf{u}) = \sum_{k=0}^{n} a_{d,k} \mathbf{u}^{k} \frac{1}{2}\right)$$

## **Parametric Polynomial Curves**

#### Examples:

- When x(u)=u, the curve is just the graph of y(u).
- Different parametric equations can trace out the same curve.
- As the degree gets larger, the complexity of the curve increases.



<u>Goal</u>:

Given a collection of *m* points in *d* dimensions:  $\{p_1 = (x_{1,1}, ..., x_{1,d}), ..., p_m = (x_{m,1}, ..., x_{m,d})\}$ define a parametric curve that passes through (or near) the points



Direct Approach:

Solve for the *m* coefficients of a parametric polynomial curve of degree *m*-1, passing through the points.

#### Direct Approach:

Solve for the *m* coefficients of a parametric polynomial curve of degree *m-1*, passing through the points.

Limitations:

- No local control
- As the number of points increases, the dimension gets larger, and the curve oscillates more.

Approach:



Approach:



Approach:



Approach:



#### Approach:



## **Piecewise parametric polynomials**

Approach:

Fit low-order polynomials to groups of points so that the combined curve passes through (or near) the points while providing:

- oLocal Control:
  - »Individual curve segments are defined using only local information

oSimplicity

»Curve segments are low-order polynomials

## **Piecewise parametric polynomials**

Approach:

Fit low-order polynomials to groups of points so that the combined curve passes through (or near) the points while providing:

- oLocal Control:
  - »Individual curve segments are defined using only local information
- oSimplicity

»Curve segments are low-order polynomials

- oContinuity/Smoothness
  - »How do we guarantee smoothness at the joints?

## **Continuity/Smoothness**

#### Continuity:

Within the parameterized domain, the polynomial functions are continuous and smooth.

The derivatives of our polynomial functions must satisfy continuity constraints across the curve boundaries.

## **Continuity/Smoothness**

- Parametric continuity: derivatives of the two curves are *equal* where they meet.
- C<sup>0</sup> means two curves just meet
- C<sup>1</sup> means 1<sup>st</sup> derivatives equal
- C<sup>2</sup> means both 1<sup>st</sup> and 2<sup>nd</sup> derivates equal

## **Continuity/Smoothness**

Geometric continuity: derivatives of the two curves are proportional (i.e. point in the same direction) where they meet.

- G<sup>0</sup> means two curves just meet
- G<sup>1</sup> means G<sup>0</sup> and 1<sup>st</sup> derivatives proportional
- G<sup>2</sup> means G<sup>1</sup> and 2<sup>nd</sup> derivatives proportional
- Parametric continuity used more frequently than geometric.

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### Overview

- What is a Spline?
- Specific Examples:
   oHermite Splines
   oCardinal Splines

oUniform Cubic B-Splines

 Comparing Cardinal Splines to Uniform Cubic B-Splines

## **Specific Example: Hermite Splines**

- Interpolating piecewise *cubic* polynomial
- Specified with:
   oA pair of control points
   oTangent at each control point
- Iteratively construct the curve between adjacent end points

 $p_3$ 

 $p_2$ 

## **Specific Example: Hermite Splines**

- Interpolating piecewise *cubic* polynomial
- Specified with:
   oA pair of control points
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- Iteratively construct the curve between adjacent end points


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Because the end-points of adjacent curves share the same position and derivatives, the Hermite spline has  $C^1$  continuity.

- Let  $P_k(u) = (P_{k,X}(u), P_{k,Y}(u))$  with  $0 \le u \le 1$  be a parametric cubic point function for the curve section between control points  $p_k$  and  $p_{k+1}$
- Boundary conditions are:

**o** $P_k(0) = p_k$  **o** $P_k(1) = p_{k+1}$  **o** $P_k'(0) = Dp_k$ **o** $P_k'(1) = Dp_{k+1}$ 



• Let  $P_k(u) = (P_{k,X}(u), P_{k,Y}(u))$  with  $0 \le u \le 1$  be a parametric cubic point function for the curve section between control points  $p_k$  and  $p_{k+1}$ 

 $Dp_k \quad P_k \quad Dp_{k+1}$ 

 $p_{k+1}$ 

- Boundary conditions are:  $oP_k(0) = p_k$   $oP_k(1) = p_{k+1}$   $oP_k'(0) = Dp_k$  $oP_k'(1) = Dp_{k+1}$
- Solve for the coefficients of the polynomials  $P_{k,X}(u)$ and  $P_{k,Y}(u)$  that satisfy the boundary condition

We can express the polynomials:

- $P(u) = au^3 + bu^2 + cu + d$
- $P'(u) = 3au^2 + 2bu + c$

using the matrix representations:

$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \qquad P'(u) = \begin{bmatrix} 3u^{2} 2u & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

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By abuse of notation, we will think of the coefficients a, b, c, and d as 2-vectors rather than scalars so that P is a function taking values in 2D.

Given the matrix representations:

$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \qquad P'(u) = \begin{bmatrix} 3u^{2}2u & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

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$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \\ c \\ d \end{bmatrix} \qquad P'(u) = \begin{bmatrix} 3u^{2}2u & 1 & 0 \\ c \\ d \end{bmatrix} \qquad \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

we can express the values at the end-points as:

$$p_{k} = P(0) = \begin{bmatrix} 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \qquad Dp_{k} = P'(0) = \begin{bmatrix} 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$
$$p_{k+1} = P(1) = \begin{bmatrix} 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \qquad Dp_{k+1} = P'(1) = \begin{bmatrix} 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

We can combine the equations

into

$$p_{k} = P(0) = \begin{bmatrix} 0 & 0 & 0 & 1 \\ c \\ d \end{bmatrix} \qquad Dp_{k} = P'(0) = \begin{bmatrix} 0 & 0 & 1 & 0 \\ c \\ d \end{bmatrix}$$
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$$Dp_{k+1} = P'(1) = \begin{bmatrix} 3 & 2 & 1 & 0 \\ c \\ d \end{bmatrix}$$
$$a \text{ single matrix expression:}$$

We can combine the equations

$$p_{k} = P(0) = \begin{bmatrix} 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} \qquad Dp_{k} = P'(0) = \begin{bmatrix} 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$
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into a single matrix expression:

$$\begin{bmatrix} p_k \\ p_{k+1} \\ Dp_k \\ Dp_{k+1} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

Inverting the matrix in the equation:

$$\begin{bmatrix} p_k \\ p_{k+1} \\ Dp_k \\ Dp_{k+1} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

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$$\begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 1 & 0 \end{bmatrix}^{-1} \begin{bmatrix} p_k \\ p_{k+1} \\ Dp_k \\ Dp_{k+1} \end{bmatrix}$$

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Using the facts that:

$$\begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix} = \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_k \\ p_{k+1} \\ Dp_k \\ Dp_{k+1} \end{bmatrix}$$

and 
$$P(u) = \begin{bmatrix} u^3 u^2 u & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \\ d \end{bmatrix}$$

Using the facts that:

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$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_{k} \\ p_{k+1} \\ Dp_{k} \\ Dp_{k+1} \end{bmatrix}$$
parameters  $M_{\text{Hermite}}$  boundary info

and we can execute matrix multiplies below

$$P(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{D}\mathbf{p}_{k} \\ \mathbf{D}\mathbf{p}_{k+1} \end{bmatrix}$$

to get

$$P(u) = p_{k} (2u^{3} - 3u^{2} + 1) + p_{k+1} (-2u^{3} + 3u^{2}) + Dp_{k} (u^{3} - 2u^{2} + u) + Dp_{k+1} (u^{3} - u^{2})$$

Setting:  $oH_0(u) = 2u^3 - 3u^2 + 1$   $oH_1(u) = -2u^3 + 3u^2$   $oH_2(u) = u^3 - 2u^2 + u$  $oH_3(u) = u^3 - u^2$ 

we can re-write the equation:

P(u) = 
$$p_k (2u^3 - 3u^2 + 1) + p_{k+1} (-2u^3 + 3u^2) +$$
  
Dp<sub>k</sub> (u<sup>3</sup> - 2u<sup>2</sup> + u) + Dp<sub>k+1</sub> (u<sup>3</sup> - u<sup>2</sup>)  
as:

 $P(u) = p_k H_0(u) + p_{k+1} H_1(u) + D p_k H_2(u) + D p_{k+1} H_3(u)$ 

Setting:  $oH_0(u) = 2u^3 - 3u^2 + 1$   $oH_1(u) = -2u^3 + 3u^2$   $oH_2(u) = u^3 - 2u^2 + u$  $oH_3(u) = u^3 - u^2$ 





 $P(u) = p_k H_0(u) + p_{k+1} H_1(u) + D p_k H_2(u) + D p_{k+1} H_3(u)$ 



 $P(u) = p_k H_0(u) + p_{k+1} H_1(u) + D p_k H_2(u) + D p_{k+1} H_3(u)$ 







 $P'(u) = p_k H_0'(u) + p_{k+1} H_1'(u) + Dp_k H_2'(u) + Dp_{k+1} H_3'(u)$ 

- Interpolating piecewise *cubic* polynomial
- Specified with:
   oSet of control points
   oTangent at each control point
- Iteratively construct the curve between adjacent end points



#### Overview

- What is a Spline?
- Specific Examples:
   oHermite Splines
   oCardinal Splines
   oUniform Cubic B-Splines
- Comparing Cardinal Splines to Uniform Cubic B-Splines

- Interpolating piecewise *cubic* polynomial
- Specified with four control points

 $p_{2}$ 

 $\mathbf{p}_1$ 

 $p_0$ 

 Iteratively construct the curve between middle two points using adjacent points to define tangents

 $p_3$ 

 $\mathbf{p}_6$ 

 $\mathbf{D}p_{\mathbf{A}}$ 

 $p_5$ 

- Interpolating piecewise cubic polynomial
- Specified with four control points
- Iteratively construct the curve between middle two points using adjacent points to define tangents



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- Interpolating piecewise *cubic* polynomial
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 $\mathbf{D}_{p_7}$ 



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- Interpolating piecewise cubic polynomial
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 $\mathbf{p}_1$ 

 $p_0$ 

 Iteratively construct the curve between middle two points using adjacent points to define tangents

 $p_3$ 

 $p_6$ 

 $p_{A}$ 

 $p_7$ 

- Interpolating piecewise cubic polynomial
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 $\mathbf{D}_{p_7}$ 



- Interpolating piecewise cubic polynomial
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 $p_0$ 

 Iteratively construct the curve between middle two points using adjacent points to define tangents

 $\mathbf{D}_{p_7}$ 



- Let  $P_k(u) = (P_{k,X}(u), P_{k,Y}(u))$  with  $0 \le u \le 1$  be a parametric cubic point function for the curve section between control points  $p_k$  and  $p_{k+1}$
- Boundary conditions are:  $oP(0) = p_k$   $oP(1) = p_{k+1}$   $oP'(0) = \frac{1}{2}(1-t)(p_{k+1} - p_{k-1})$  $oP'(1) = \frac{1}{2}(1-t)(p_{k+2} - p_k)$
- Solve for the coefficients of the polynomials  $P_{k,X}(u)$ and  $P_{k,Y}(u)$  that satisfy the boundary condition

Recall:

The Hermite matrix determines the coefficients of the polynomial from the positions and the derivatives of the end-points

$$P(u) = \begin{bmatrix} u^{3} u^{2} u & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_{k} \\ p_{k+1} \\ Dp_{k} \\ Dp_{k+1} \end{bmatrix}$$
parameters  $M_{\text{Hermite}}$  boundary info

Using same methods as with Hermite spline, from boundary conditions on previous slide we can get

$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_{k} \\ p_{k+1} \\ s(p_{k+1} - p_{k-1}) \\ s(p_{k+2} - p_{k}) \end{bmatrix}$$
  
where  $s = (1 - t)/2$   
 $M_{\text{Hermite}}$ 

The parameter *t* is called the <u>tension parameter</u>.

• Controls looseness versus tightness of curve

We can express the boundary conditions as a matrix applied to the points  $p_{k-1}$ ,  $p_k$ ,  $p_{k+1}$ , and  $p_{k+2}$ :

$$\begin{vmatrix} p_k \\ p_{k+1} \\ s(p_{k+1} - p_{k-1}) \\ s(p_{k+2} - p_k) \end{vmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s & 0 & s & 0 \\ 0 & -s & 0 & s \end{bmatrix} \begin{bmatrix} p_{k-1} \\ p_k \\ p_{k+1} \\ p_{k+2} \end{bmatrix}$$

to get

We can express the boundary conditions as a matrix applied to the points  $p_{k-1}$ ,  $p_k$ ,  $p_{k+1}$ , and  $p_{k+2}$ :

$$\begin{vmatrix} p_k \\ p_{k+1} \\ s(p_{k+1} - p_{k-1}) \\ s(p_{k+2} - p_k) \end{vmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s & 0 & s & 0 \\ 0 & -s & 0 & s \end{bmatrix} \begin{bmatrix} p_{k-1} \\ p_k \\ p_{k+1} \\ p_{k+2} \end{bmatrix}$$

to get

$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s & 0 & s & 0 \\ 0 - s & 0 & s \end{bmatrix} \begin{bmatrix} p_{k-1} \\ p_{k} \\ p_{k+1} \\ p_{k+2} \end{bmatrix}$$

Multiplying the interior matrices in:

$$P(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\mathbf{s} & 0 & \mathbf{s} & 0 \\ 0 & -\mathbf{s} & 0 & \mathbf{s} \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$

we get the Cardinal matrix representation

Combining the matrices in:

$$P(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -\mathbf{s} & 0 & \mathbf{s} & 0 \\ 0 - \mathbf{s} & 0 & \mathbf{s} \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$

we get the Cardinal matrix representation

$$P(\mathbf{u}) = \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} -\mathbf{s} & 2-\mathbf{s} & \mathbf{s}-2 & \mathbf{s} \\ 2\mathbf{s} & \mathbf{s}-3 & 3-2\mathbf{s} & -\mathbf{s} \\ -\mathbf{s} & 0 & \mathbf{s} & 0 \\ 0 & 1 & 0 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$
$$M_{Cardinal}$$

Setting:

**o** $C_0(u) = -su^3 + 2su^2 - su$  **o** $C_1(u) = (2 - s)u^3 + (s - 3)u^2 + 1$  **o** $C_2(u) = (s - 2)u^3 + (3 - 2s)u^2 + su$ **o** $C_3(u) = su^3 - su^2$ 

Blending Functions

For *s*=0:



Setting:  $oC_0(u) = -su^3 + 2su^2 - su$   $oC_1(u) = (2-s)u^3 + (s-3)u^2 + 1$   $oC_2(u) = (s-2)u^3 + (3-2s)u^2 + su$  $oC_3(u) = su^3 - su^2$ 

Properties:

- $C_0(u) + C_1(u) + C_2(u) + C_3(u) = 1$
- $C_j(u) = C_{3-j}(1-u)$
- $C_0(1) = C_3(0) = 0$



 $P(u) = C_0(u)p_{k-1} + C_1(u)p_k + C_2(u)p_{k+1} + C_3(u)p_{k+2}$ 

- Interpolating piecewise *cubic* polynomial
- Specified with four control points
- Iteratively construct the curve between middle two points using adjacent points to define tangents

 $\mathbf{D}_{p_7}$ 



- Interpolating piecewise cubic polynomial
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### Overview

- What is a Spline?
- Specific Examples:
   oHermite Splines
   oCardinal Splines
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- Comparing Cardinal Splines to Uniform Cubic B-Splines

- Approximating piecewise *cubic* polynomial
- Specified with four control points

 $p_{2}$ 

 $\mathbf{p}_1$ 

 $p_0$ 

 Iteratively construct the curve around middle two points using adjacent points to define tangents

 $p_3$ 

 $\mathbf{p}_6$ 

 $\bullet p_4$ 

 $p_5$ 

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 $\mathbf{D}_{p_7}$ 



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- Specified with four control points

 $\mathbf{p}_1$ 

 $p_0$ 

 Iteratively construct the curve around middle two points using adjacent points to define tangents

 $p_3$ 

 $p_7$ 

 $p_{A}$ 

- Approximating piecewise *cubic* polynomial
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 $\mathbf{D}_{p_7}$ 



- Let  $P_k(u) = (P_{k,X}(u), P_{k,Y}(u))$  with  $0 \le u \le 1$  be a parametric cubic point function for the curve section around the control points  $p_k$  and  $p_{k+1}$
- Boundary conditions are:  $oP(0) = 1/6(p_{k-1}+4p_k+p_{k+1})$   $oP(1) = 1/6(p_k+4p_{k+1}+p_{k+2})$   $oP'(0) = 1/2(1-t)(p_{k+1}-p_{k-1})$   $P_{k-1}$  $oP'(1) = 1/2(1-t)(p_{k+2}-p_k)$



• Solve for the coefficients of the polynomials  $P_{k,X}(u)$ and  $P_{k,Y}(u)$  that satisfy the boundary condition

Using same methods as with Hermite spline, from boundary conditions on previous slide we can get

$$P(u) = \begin{bmatrix} u^{3}u^{2}u & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \frac{1}{6} \begin{bmatrix} p_{k-1} + 4p_{k} + p_{k+1} \\ p_{k} + 4p_{k+1} + p_{k+2} \\ 3p_{k+1} - 3p_{k-1} \\ 3p_{k+2} - 3p_{k} \end{bmatrix}$$

We can express the boundary conditions as a matrix applied to the points  $p_{k-1}$ ,  $p_k$ ,  $p_{k+1}$ , and  $p_{k+2}$ :

$$p_{k-1} + 4p_k + p_{k+1} p_k + 4p_{k+1} + p_{k+2} 3p_{k+1} - 3p_{k-1} 3p_{k+2} - 3p_k$$
 
$$= \begin{bmatrix} 1 & 4 & 1 & 0 \\ 0 & 1 & 4 & 1 \\ -3 & 0 & 3 & 0 \\ 0 & -3 & 0 & 3 \end{bmatrix} \begin{bmatrix} p_{k-1} \\ p_k \\ p_{k+1} \\ p_{k+2} \end{bmatrix}$$

to get

$$\mathsf{P}(\mathsf{u}) = \frac{1}{6} \left[ \mathsf{u}^{3} \mathsf{u}^{2} \mathsf{u} \right] 1 \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 4 & 1 & 0 \\ 0 & 1 & 4 & 1 \\ -3 & 0 & 3 & 0 \\ 0 - 3 & 0 & 3 \end{bmatrix} \begin{bmatrix} \mathsf{p}_{\mathsf{k}-1} \\ \mathsf{p}_{\mathsf{k}} \\ \mathsf{p}_{\mathsf{k}+1} \\ \mathsf{p}_{\mathsf{k}+2} \end{bmatrix}$$

Multiplying the interior matrices in:

$$P(\mathbf{u}) = \frac{1}{6} \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 4 & 1 & 0 \\ 0 & 1 & 4 & 1 \\ -3 & 0 & 3 & 0 \\ 0 - 3 & 0 & 3 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$

we get the cubic B-spline matrix representation

Combining the matrices in:

$$P(\mathbf{u}) = \frac{1}{6} \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} 2 - 2 & 1 & 1 \\ -3 & 3 - 2 & -1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 4 & 1 & 0 \\ 0 & 1 & 4 & 1 \\ -3 & 0 & 3 & 0 \\ 0 - 3 & 0 & 3 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$

we get the cubic B-spline matrix representation

$$P(\mathbf{u}) = \frac{1}{6} \begin{bmatrix} \mathbf{u}^{3} \mathbf{u}^{2} \mathbf{u} & 1 \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix} \begin{bmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_{k} \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{bmatrix}$$
  
$$M_{BSpline}$$



 $P(u) = B_{0,3}(u)p_{k-1} + B_{1,3}(u)p_k + B_{2,3}(u)p_{k+1} + B_{3,3}(u)p_{k+2}$
#### **Specific Example:** Uniform Cubic B-Splines

#### Setting:

$$oB_{0,3}(u) = 1/6(1-u)^3$$
  
 $oB_{1,3}(u) = 1/6(3u^3-6u^2+4)$   
 $oB_{2,3}(u) = 1/6(-3u^3+3u^2+3u+1)$   
 $oB_{3,3}(u) = 1/6(u^3)$ 

- $B_{0,3}(u) + B_{1,3}(u) + B_{2,3}(u) + B_{3,3}(u) = 1$
- $B_j(u) = B_{3-j}(1-u)$
- $B_{0,3}(1) = B_{3,3}(0) = 0$
- $B_{j,3}(u) \ge 0$



#### **Specific Example:** Uniform Cubic B-Splines

- Approximating piecewise *cubic* polynomial
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 $\mathbf{D}_{p_7}$ 



#### **Specific Example:** Uniform Cubic B-Splines

- Approximating piecewise *cubic* polynomial
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#### Overview

- What is a Spline?
- Specific Examples:
   oHermite Splines
   oCardinal Splines
   oUniform Cubic B-Splines
- Comparing Cardinal Splines to Uniform Cubic B-Splines

Blending functions provide a way for expressing the functions  $P_k(u)$  as a weighted sum of the four control points  $p_{k-1}$ ,  $p_k$ ,  $p_{k+1}$ , and  $p_{k+2}$ :



- Translation Commutativity:
  - **o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.

Properties:

- Translation Commutativity:
  - **o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.

If we translate all the control points by the same vector *q*, the position of the new point at the value *u* will just be the position of the old value at *u*, translated by *q*:

**Properties:** 

Translation Commutativity:

**o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.

If we translate all the control points by the same vector *q*, the position of the new point at the value *u* will just be the position of the old value at *u*, translated by *q*:

 $Q_{k}(u) = BF_{0}(u)(q + p_{k-1}) + BF_{1}(u)(q + p_{k}) + BF_{2}(u)(q + p_{k+1}) + BF_{3}(u)(q + p_{k+2})$ 

**Properties:** 

Translation Commutativity:

**o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.

If we translate all the control points by the same vector q, the position of the new point at the value u will just be the position of the old value at u, translated by q:

 $Q_{k}(u) = BF_{0}(u)(q + p_{k-1}) + BF_{1}(u)(q + p_{k}) + BF_{2}(u)(q + p_{k+1}) + BF_{3}(u)(q + p_{k+2})$ =  $(BF_{0}(u) + BF_{1}(u) + BF_{1}(u) + BF_{1}(u))(q + P_{k}(u))$ 

**Properties:** 

Translation Commutativity:

**o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.

If we translate all the control points by the same vector *q*, the position of the new point at the value *u* will just be the position of the old value at *u*, translated by *q*:

 $\begin{aligned} Q_{k}(u) &= \mathsf{BF}_{0}(u)(q + p_{k-1}) + \mathsf{BF}_{1}(u)(q + p_{k}) + \mathsf{BF}_{2}(u)(q + p_{k+1}) + \mathsf{BF}_{3}(u)(q + p_{k+2}) \\ &= (\mathsf{BF}_{0}(u) + \mathsf{BF}_{1}(u) + \mathsf{BF}_{1}(u) + \mathsf{BF}_{1}(u))(q + P_{k}(u)) \\ &= q + \mathsf{P}_{k}(u) \end{aligned}$ 



- Translation Commutativity: •  $BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1$ , for all  $0 \le u \le 1$ .
- Continuity:

```
o BF_0(1)=BF_3(0)=0
```

```
o BF_1(1) = BF_0(0)
```

```
o BF_2(1) = BF_1(0)
```

```
o BF_3(1) = BF_2(0)
```

**Properties:** 

- Translation Commutativity: •  $BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1$ , for all  $0 \le u \le 1$ .
- Continuity:
  - **o**  $BF_0(1)=BF_3(0)=0$
  - **o**  $BF_1(1)=BF_0(0)$
  - **o**  $BF_2(1) = BF_1(0)$
  - **o**  $BF_3(1)=BF_2(0)$

We need to have the curve  $P_{k+1}(u)$  begin where the curve  $P_k(u)$  ended:

 $0 = \mathsf{P}_{k+1}(0) - \mathsf{P}_{k}(1)$ 

Properties:

- Translation Commutativity: •  $BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1$ , for all  $0 \le u \le 1$ .
- Continuity:
  - **o**  $BF_0(1)=BF_3(0)=0$
  - **o**  $BF_1(1) = BF_0(0)$
  - **o**  $BF_2(1) = BF_1(0)$
  - **o**  $BF_3(1) = BF_2(0)$

Since this equation has to hold true regardless of the values of  $p_k$ , the conditions on the left have to be true

We need to have the curve  $P_{k+1}(u)$  begin where the curve  $P_k(u)$  ended:



 $P_{k}(u) = BF_{0}(u)p_{k-1} + BF_{1}(u)p_{k} + BF_{2}(u)p_{k+1} + BF_{3}(u)p_{k+2}$ 





- Translation Commutativity: •  $BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1$ , for all  $0 \le u \le 1$ .
- Continuity:

```
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o BF_1(1) = BF_0(0)
```

```
o BF_2(1) = BF_1(0)
```

```
o BF_3(1) = BF_2(0)
```

**Properties:** 

- Translation Commutativity: •  $BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1$ , for all  $0 \le u \le 1$ .
- Continuity:
  - **o**  $BF_0(1)=BF_3(0)=0$
  - **o**  $BF_1(1) = BF_0(0)$
  - **o**  $BF_2(1) = BF_1(0)$
  - **o**  $BF_3(1) = BF_2(0)$
- Convex Hull Containment: •  $BF_0(u)$ ,  $BF_1(u)$ ,  $BF_2(u)$ ,  $BF_3(u) \ge 0$ , for all  $0 \le u \le 1$ .

This is because a point is inside the convex hull of a collection of points if and only if it can be expressed as the weighted average of the points, where all the weights are non-negative.





- Translation Commutativity:
- **o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.
- Continuity:
- **o**  $BF_0(1) = BF_3(0) = 0$
- **o**  $BF_1(1) = BF_0(0)$
- **o**  $BF_2(1) = BF_1(0)$
- **o**  $BF_3(1) = BF_2(0)$
- Convex Hull Containment:
- **o**  $BF_0(u)$ ,  $BF_1(u)$ ,  $BF_2(u)$ ,  $BF_3(u)$ ≥0, for all 0≤*u*≤1.
- Interpolation:
- **o**  $BF_0(0) = BF_2(0) = BF_3(0) = 0$
- **o**  $BF_0(1) = BF_1(1) = BF_3(1) = 0$
- **o**  $BF_1(0)=1$
- **o** *BF*<sub>2</sub>(1)=1

Properties:

- Translation Commutativity:
- **o**  $BF_0(u)$ +  $BF_1(u)$ +  $BF_2(u)$ +  $BF_3(u)$ =1, for all 0≤*u*≤1.
- Continuity:
- **o**  $BF_0(1) = BF_3(0) = 0$
- **o**  $BF_1(1) = BF_0(0)$
- **o**  $BF_2(1) = BF_1(0)$
- **o**  $BF_3(1) = BF_2(0)$
- Convex Hull Containment:
- **o**  $BF_0(u)$ ,  $BF_1(u)$ ,  $BF_2(u)$ ,  $BF_3(u)$ ≥0, for all 0≤*u*≤1.
- Interpolation:
- **o**  $BF_0(0) = BF_2(0) = BF_3(0) = 0$
- **o**  $BF_0(1) = BF_1(1) = BF_3(1) = 0$
- **o**  $BF_1(0)=1$
- o *BF*<sub>2</sub>(1)=1

Because we want the spline segments to satisfy:

• 
$$P_k(0) = p_{k+1}$$

• 
$$P_k(1) = p_{k+2}$$



#### Summary

 A spline is a *piecewise polynomial function* whose derivatives satisfy some *continuity constraints* across curve junctions.

- Looked at specification for 3 splines:
  - **o** Hermite
  - Cardinal  $\succ$  Interpolating, cubic,  $C^1$
  - o Uniform Cubic B-Spline

Approximating, convex-hull containment, cubic,  $C^2$